UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

ARTURO STEVEZ, ON BEHALF OF HIMSELF:
AND ALL OTHER PERSONS SIMILARLY:

SITUATED,

21-CV-6471 (VEC)

USDC SDNY

DATE FILED:

ELECTRONICALLY FILED

ORDER

Plaintiff,

-against-

PIERMONT WEALTH MANAGEMENT INC.,

Defendant. X

VALERIE CAPRONI, United States District Judge:

WHEREAS on October 26, 2021 (Dkt. 12), the parties notified the Court that they have reached an agreement in principle resolving all issues; and

IT IS HEREBY ORDERED that all previously scheduled conferences and other deadlines are CANCELLED.

IT IS FURTHER ORDERED that this case is DISMISSED with prejudice and without costs (including attorneys' fees) to either party. The Clerk of Court is respectfully directed to terminate all open motions and to CLOSE the case.

Within 30 days of this order, the parties may apply to reopen this case. Any such application must show good cause for holding the case open in light of the parties' settlement and must be filed within 30 days. Any request filed after 30 days or without a showing of good cause may be denied solely on that basis.

Additionally, if the parties wish for the Court to retain jurisdiction to enforce their settlement agreement, they must submit **within the same 30-day period**: (1) their settlement agreement to the Court in accordance with Rule 6.A of the Court's Individual Practices and (2) a

Case 1:21-cv-06471-VEC Document 13 Filed 10/27/21 Page 2 of 2

request that the Court issue an order expressly retaining jurisdiction to enforce the settlement

agreement. See Hendrickson v. United States, 791 F.3d 354 (2d Cir. 2015).

case caption by deleting the language "individually and on behalf of all others similarly situated" after the individual Plaintiff's name. Because the parties did not notify the Court that they intended to comply with Fed. R. Civ. P. 23(e), this dismissal and any settlement between the

IT IS FURTHER ORDERED that the Clerk of Court is respectfully directed to amend the

parties is operative only as between the individual Plaintiff and Defendant and does not bind any

absent putative class members.

SO ORDERED.

Date: October 27, 2021

New York, NY

VALERIE CAPRONI United States District Judge